Document made available under the Patent Cooperation Treaty (PCT)

International application number: PCT/EP05/001849

International filing date: 22 February 2005 (22.02.2005)

Document type: Certified copy of priority document

Document details: Country/Office: US

Number: 60/546,856

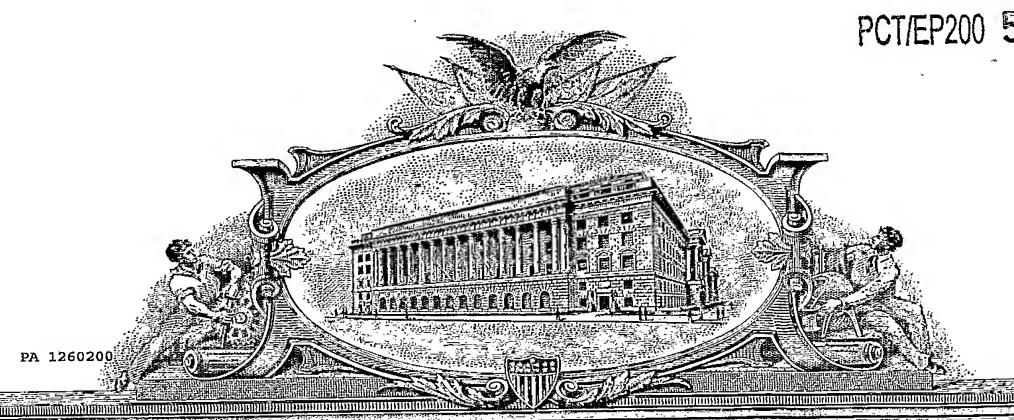
Filing date: 23 February 2004 (23.02.2004)

Date of receipt at the International Bureau: 16 March 2005 (16.03.2005)

Remark: Priority document submitted or transmitted to the International Bureau in

compliance with Rule 17.1(a) or (b)





THE BUILD STAINS OR WORR (OX)

TO ALL TO WHOM THESE PRESENTS SHALL COMIES UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

December 15, 2004

THIS IS TO CERTIFY THAT ANNEXED HERETO IS A TRUE COPY FROM THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE OF THOSE PAPERS OF THE BELOW IDENTIFIED PATENT APPLICATION THAT MET THE REQUIREMENTS TO BE GRANTED A FILING DATE UNDER 35 USC 111.

APPLICATION NUMBER: 60/546,856 FILING DATE: February 23, 2004

By Authority of the

COMMISSIONER OF PATENTS AND TRADEMARKS

LANAI JAMISON

Certifying Officer





Docket Number

4-33584P1



Express Mail Label Number

Address to: MS: Provisional Patent Application

Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

PATENT COVER SHEET FOR PROVISIONAL APPLICATION

Transmitted berewith for filing under 37 CFR 81 53(c) is the PROVISIONAL APPLICATION for patent of

	INVENTOR(
Given Name (first and middle [if any])	Family Name or Sumame	Residence (City and either State or Foreign Country)
Iwan	Beuvink	Basel, Switzerland
Anne	Boulay	Blotzheim, France
Heidi	Lane	Basel, Switzerland
Terence	O'Reilly	Basel, Switzerland
George	Thomas	Hèsingue, France
	TITLE OF THE INVENTION (280 characters max)
•	ORGANIC COM	POUNDS
	CORRESPONDENCE AD	DRESS
irect all correspondence to the address a	ssociated with Customer No. 0010	95, which is currently:
Thomas Hoxie Novartis		·
Corporate Intellectual Property	•	
One Health Plaza, Building 430 East Hanover, NJ 07936-1080		
Eddi i Miloveri i i a di dedi i dedi	,	
EN	CLOSED APPLICATION PARTS (check all that apply)
☑ Specification (Including Any Claims☐ Drawings - sheets	s and Abstract) - 18 pages	
Other (specify): Application Data (Sheet ·	
•	METHOD OF PAYM	NT
The Commissioner is hereby authorized to diditional fees required to Deposit Account Novartis.		PROVISIONAL FILING FEE AMOUNT: \$ 160

(if the invention was made by an agency of the United States Government U.S. Government agency and contract number: (if the invention or under a contract with an agency of the United States Government.)

Respectfully submitted,

Date: February 23, 2004

Reg. No. 26,631 Tel. No. (862) 778-7801

INVENTOR INFORMATION



Inventor One Given Name:: Iwan

Family Name:: Beuvink

Postal Address Line One:: Lehenmattstrasse 189

City:: Basel

Country:: Switzerland
Postal or Zip Code:: 4052
City of Residence:: Basel

Country of Residence:: Switzerland Citizenship Country:: Netherlands Inventor Two Given Name:: Anne

Family Name:: Boulay

Postal Address Line One:: 9 rue des Pres

City:: Blotzheim Country:: France

Postal or Zip Code:: F-68730 City of Residence:: Blotzheim Country of Residence:: France Citizenship Country:: France

Inventor Three Given Name:: Heidi

Family Name:: Lane

Postal Address Line One:: Lehenmattstrasse 189

City:: Basel

Country:: Switzerland
Postal or Zip Code:: 4052
City of Residence:: Basel

Country of Residence:: Switzerland Citizenship Country:: Switzerland Inventor Four Given Name:: Terence

Family Name:: O'Reilly

Postal Address Line One:: Drahtzugstrasse 51

City:: Basel

Country:: Switzerland
Postal or Zip Code:: 4057
City of Residence:: Basel

Country of Residence:: Switzerland

Citizenship Country:: Canada

Inventor Five Given Name:: George

Family Name:: Thomas

Postal Address Line One:: 16, rue des Pins

City:: Hesingue Country:: France

Postal or Zip Code:: F-68220 City of Residence:: Hesingue Country of Residence:: France

Citizenship Country:: US

CORRESPONDENCE INFORMATION

Correspondence Customer Number:: 001095

Fax One:: 973-781-8064

APPLICATION INFORMATION

Title Line One:: ORGANIC COMPOUNDS

Total Drawing Sheets:: 0
Formal Drawings?:: No

Application Type:: Provisional

Docket Number:: 4-33584P1

Secrecy Order in Parent Appl.?:: No

REPRESENTATIVE INFORMATION

Representative Customer Number:: 1095

Source:: PrintEFS Version 2.0

Organic Compounds

The present invention relates to biomarkers for determining the sensitivity of proliferative diseases such as cancer to therapeutic agents, in particular mTOR inhibitors in combination with a cytotoxic agent.

A number of mTOR inhibitors have potent antiproliferative properties which make them useful for cancer chemotherapy, particularly of solid tumors, especially of advanced solid tumors. mTOR inhibitors have also been combined with certain cytotoxic agents to further improve the efficiency of the treatment or to reduce the side-effects, e.g. as disclosed in WO 02/66019. However there is still a need for more targeted use of a combined therapy based on mTOR inhibitors, which requires identification of patients which are likely to respond to treatment with such combined agents. Accordingly there is a need for biomarkers useful in e.g. clinical tests, which are capable of predicting responsiveness of a benign or malignant proliferative disease, e.g. a tumor in a patient, to treatment with an mTOR inhibitor in association with a cytotoxic agent.

It has surprisingly been found that the presence of a wild-type p53 tumor suppressor gene (otherwise also known as the TP53 gene) is a useful biomarker which is predictive of sensitivity of proliferative diseases to treatment with a combination of an mTOR inhibitor with a cytotoxic agent. In particular, it has been found that the presence of a wild-type p53 gene in human cancer cell lines correlates well with increased cell killing/programmed cell death/apoptosis resulting from treatment with an mTOR inhibitor in combination with a cytotoxic agent. Hence, mTOR inhibitors combined with a cytotoxic agent are more likely to show a more significant antiproliferative/cell killing effect when used to treat cancer cell lines which retain wild-type p53. The p53 protein (encoded by the TP53 gene) is a tumorsuppressor which plays a major role in the regulation of cell cycle arrest, senescence, differentiation and programmed cell death/apoptosis in mammalian cells. In particular, the p53 pathway induces cell cycle arrest and/or apoptosis in mammalian cells exposed to stress (e.g. DNA damage, oncogenic stress, hypoxia, lack of survival signals). Mutations in TP53 occur in about half of all human cancers, and the ability to induce a p53 response is compromised in virtually all cancer cells (Vousden and Lu, Nature Reviews, 2002,2:594-604). The sequence of human p53 (mRNA [coding sequence; 1182 nucleotides] and protein [393 amino acids]) is available under GenBank accession numbers NM 000546 or P04637.

The complete sequence of the human TP53 gene is available under GenBank accession number U94788.

Accordingly, the present invention is based on the determination of the presence of a wild-type p53 gene in cells which are prone to abnormal proliferation.

The present invention provides in one aspect the use of the presence of wild-type p53 (*TP53*) gene (as opposed to the absence, deficiency or deletion of the p53 [*TP53*] gene or the presence of a mutated p53 [*TP53*] gene) as a biomarker for determining the sensitivity of a proliferative disease to treatment with an mTOR inhibitor in combination with a cytotoxic agent.

By wild-type p53 [*TP53*] gene is meant not only the introns and exons but also regulatory regions associated with, and physically close to, the introns and exons, particularly those 5' to the 5'-most exon. It includes e.g. the full length DNA sequence of the natural gene and optionally nucleotide substitutions (including inversions), insertions and deletions of codons, provided that it expresses the wild-type p53 protein or a functional equivalent thereof, e.g. a functional p53 protein retaining its cell apoptosis-inducing properties. Conversely, absence, deficiency, deletion or mutation of the p53 [*TP53*] gene is meant for genetic and epigenetic changes e.g. amplification, methylation, polymorphisms, nucleotide mutations, deletions, inversions or translocations and loss of heterozygosity (LOH) which results in loss of p53 [*TP53*] gene expression or expression of a mutated gene which e.g. results in expression of a mutated p53 protein which no longer retains any cell apoptosis-inducing properties.

In a further aspect the invention provides a method for determining the sensitivity of a proliferative disease in a subject to treatment with an mTOR inhibitor in combination with a cytotoxic agent, comprising determining genetic p53 (*TP53*) status (wild-type versus mutant or deficient/absent) in a sample derived from the subject.

In another aspect the invention provides a method of selecting subjects suffering from a proliferative disease for treatment with an mTOR inhibitor in association with a cytotoxic agent, comprising determining the sensitivity of the proliferative disease to the combined treatment in each subject by a method as described above, and selecting those subjects retaining a wild-type p53 (*TP53*) gene for said combined treatment.

The term "mTOR inhibitor" as used herein includes, but is not limited to rapamycin (sirolimus) or a derivative thereof. Rapamycin is a known macrolide antibiotic produced by

Streptomyces hygroscopicus. Suitable derivatives of rapamycin include e.g. compounds of formula A

wherein

R_{1aa} is CH₃ or C₃₋₆alkynyl,

 R_{2aa} is H or -CH₂-CH₂-OH, 3-hydroxy-2-(hydroxymethyl)-2-methyl-propanoyl or tetrazolyl, and X_{aa} is =O, (H,H) or (H,OH)

provided that R_{2aa} is other than H when X_{aa} is =0 and R_{1aa} is CH3.

or a prodrug thereof when R_{2aa} is -CH₂-CH₂-OH, e.g. a physiologically hydrolysable ether thereof.

Compounds of formula A are disclosed e.g. in WO 94/09010, WO 95/16691, WO 96/41807, USP 5,362,718 or WO 99/15530 which are incorporated herein by reference. They may be prepared as disclosed or by analogy to the procedures described in these references.

Representative rapamycin derivatives of formula I are e.g. 32-deoxorapamycin, 16-pent-2ynyloxy-32-deoxorapamycin, 16-pent-2-ynyloxy-32(S or R)-dihydro-rapamycin, 16-pent-2-R)-dihydro-40-O-(2-hydroxyethyl)-rapamycin, 40-[3-hydroxy-2ynyloxy-32(S or (hydroxymethyl)-2-methylpropanoate]-rapamycin (also called CCI779) or 40-epi-(tetrazolyl)called preferred compound rapamycin (also ABT578). Α e.g. 40-0-(2-hydroxyethyl)-rapamycin disclosed in Example 8 in WO 94/09010, or 32deoxorapamycin or 16-pent-2-ynyloxy-32(S)-dihydro-rapamycin as disclosed in WO 96/41807. Rapamycin derivatives may also include the so-called rapalogs, e.g. as disclosed in WO 98/02441 and WO01/14387, e.g. AP23573, AP23464, AP23675 or AP23841. Further examples of a rapamycin derivative are those disclosed under the name TAFA-93 (a rapamycin prodrug), biolimus-7 or biolimus-9.

In each case where citations of patent applications or scientific publications are given, the subject-matter relating to the compounds is hereby incorporated into the present application by reference. Comprised are likewise the pharmaceutically acceptable salts thereof, the corresponding racemates, diastereoisomers, enantiomers, tautomers as well as the corresponding crystal modifications of above disclosed compounds where present, e.g. solvates, hydrates and polymorphs, which are disclosed therein. The compounds used as active ingredients in the combinations of the invention can be prepared and administered as described in the cited documents, respectively.

The term "cytotoxic agent" as used herein is an agent which is harmful to cell structure and function and may ultimately cause cell death, e.g. a antineoplastic drug, for instance a microtubule active agent or especially a drug which damages DNA, for example an antineoplastic antimetabolite, a platin compound, an alkylating agent or a topoisomerase I or II inhibitor. The term "cytotoxic agent" also includes an irradiation treatment which causes DNA damage, e.g ionizing radiation, e.g. radioactive iodine.

The term "topoisomerase I inhibitor" as used herein includes, but is not limited to topotecan, irinotecan, 9-nitrocamptothecin and the macromolecular camptothecin conjugate PNU-166148 (compound A1 in WO99/17804). Irinotecan can be administered, e.g. in the form as it is marketed, e.g. under the trademark CAMPTOSAR™. Topotecan can be administered, e.g., in the form as it is marketed, e.g. under the trademark HYCAMTIN™.

The term "topoisomerase II inhibitor" as used herein includes, but is not limited to the anthracyclines such as doxorubicin (including liposomal formulation, e.g. CAELYXTM), daunorubicin, epirubicin, idarubicin and nemorubicin, the anthraquinones mitoxantrone and losoxantrone, and the podophillotoxines etoposide and teniposide. Etoposide can be administered, e.g. in the form as it is marketed, e.g. under the trademark ETOPOPHOSTM. Teniposide can be administered, e.g. in the form as it is marketed, e.g. under the trademark VM 26-BRISTOLTM. Doxorubicin can be administered, e.g. in the form as it is marketed, e.g. under the trademark ADRIBLASTINTM. Epirubicin can be administered, e.g. in the form as it is marketed, e.g. under the trademark FARMORUBICINTM. Idarubicin can be administered, e.g. in the form as it is marketed, e.g. under the trademark ZAVEDOSTM. Mitoxantrone can be administered, e.g. in the form as it is marketed, e.g. under the trademark NOVANTRONTM.

The term "microtubule active agent" relates to microtubule stabilizing and microtubule destabilizing agents including, but not limited to taxanes, e.g. paclitaxel and docetaxel, vinca

alkaloids, e.g., vinblastine, especially vinblastine sulfate, vincristine especially vincristine sulfate, and vinorelbine, discodermolides and epothilones and derivatives thereof, e.g. epothilone B or a derivative thereof. Paclitaxel may be administered e.g. in the form as it is marketed, e.g. TAXOLTM. Docetaxel can be administered, e.g., in the form as it is marketed, e.g. under the trademark TAXOTERETM. Vinblastine sulfate can be administered, e.g., in the form as it is marketed, e.g. under the trademark VINBLASTIN R.P.TM. Vincristine sulfate can be administered, e.g., in the form as it is marketed, e.g. under the trademark FARMISTINTM. Discodermolide can be obtained, e.g., as disclosed in US 5,010,099.

The term "alkylating agent" as used herein includes, but is not limited to cyclophosphamide, ifosfamide, melphalan or nitrosourea (BCNU or Gliadel[™]). Cyclophosphamide can be administered, e.g., in the form as it is marketed, e.g. under the trademark CYCLOSTIN™. Ifosfamide can be administered, e.g., in the form as it is marketed, e.g. under the trademark HOLOXAN™.

The term "antineoplastic antimetabolite" includes, but is not limited to 5-fluorouracil, tegafur, capecitabine, cladribine, cytaribine, fludarabine phosphate, fluorouridine, gemcitabine, 6-mercaptopurine, hydroxyurea, methotrexate, edatrexate and salts of such compounds, and furthermore ZD1694 (RALTITREXEDTM), LY231514 (ALIMTATM), LY264618 (LOMOTREXOLTM) and OGT719. Capecitabine can be administered, e.g., in the form as it is marketed, e.g. under the trademark XELODATM. Gemcitabine can be administered, e.g., in the form as it is marketed, e.g. under the trademark GEMZARTM.

The term "platin compound" as used herein includes, but is not limited to carboplatin, cisplatin and oxaliplatin. Carboplatin can be administered, e.g., in the form as it is marketed, e.g. under the trademark CARBOPLATTM. Oxaliplatin can be administered, e.g., in the form as it is marketed, e.g. under the trademark ELOXATINTM.

The proliferative disease may be a benign or malignant proliferative disease, e.g. benign prostatic hyperplasia, or a neoplastic disease, preferably a malignant proliferative disease, e.g. a cancer, e.g. tumors and/or metastasis (whereever located), e.g. brain and other central nervous system tumors (eg. tumors of the meninges, brain, spinal cord, cranial nerves and other parts of central nervous system, e.g. glioblastomas or medulla blastomas); head and/or neck cancer; breast tumors; circulatory system tumors (e.g. heart, mediastinum and pleura, and other intrathoracic organs, vascular tumors and tumor-asso-ciated vascular tissue); excretory system tumors (e.g. kidney, renal pelvis, ureter, bladder, other and unspecified urinary organs); gastrointestinal tract tumors (e.g. oesophagus, stomach, small

intestine, colon, colorectal, rectosigmoid junction, rectum, anus and anal canal), tumors involving the liver and intrahepatic bile ducts, gall bladder, other and unspecified parts of biliary tract, pancreas, other and digestive organs); head and neck; oral cavity (lip, tongue, gum, floor of mouth, palate, and other parts of mouth, parotid gland, and other parts of the salivary glands, tonsil, oropharynx, nasopharynx, pyriform sinus, hypopharynx, and other sites in the lip, oral cavity and pharynx); reproductive system tumors (e.g. vulva, vagina, Cervix uteri, Corpus uteri, uterus, ovary, and other sites associated with female genital organs, placenta, penis, prostate, testis, and other sites associated with male genital organs); respiratory tract tumors (e.g. nasal cavity and middle ear, accessory sinuses, larynx, trachea, bronchus and lung, e.g. small cell lung cancer or non-small cell lung cancer); skeletal system tumors (e.g. bone and articular cartilage of limbs, bone articular cartilage and other sites); skin tumors (e.g. malignant melanoma of the skin, non-melanoma skin cancer, basal cell carcinoma of skin, squamous cell carcinoma of skin, mesothelioma, Kaposi's sarcoma); and tumors involving other tissues including peripheral nerves and autonomic nervous system, connective and soft tissue, retroperitoneum and peritoneum, eye and adnexa, thyroid, adrenal gland and other endocrine glands and related structures, secondary and unspecified malignant neoplasm of lymph nodes, secondary malignant neoplasm of respiratory and digestive systems and secondary malignant neoplasm of other sites, , tumors of blood and lymphatic system (e.g. Hodgkin's disease, Non-Hodgkin's lymphoma, Burkitt's lymphoma, AIDS-related lymphomas, malignant immunoproliferative diseases, multiple myeloma and malignant plasma cell neoplasms, lymphoid leukemia, acute or chronic myeloid leukemia, acute or chronic lymphocytic leukemia, monocytic leukemia, other leukemias of specified cell type, leukemia of unspecified cell type, other and unspecified malignant neoplasms of lymphoid, haematopoietic and related tissues, for example diffuse large cell lymphoma, T-cell lymphoma or cutaneous T-cell lymphoma). Myeloid cancer includes e.g. acute or chronic myeloid leukaemia.

Where hereinbefore and subsequently a tumor, a tumor disease, a carcinoma or a cancer is mentioned, also metastasis in the original organ or tissue and/or in any other location are implied alternatively or in addition, whatever the location of the tumor and/or metastasis is.

The term cytotoxic agent may also, in case of a lymphatic or myeloid cancer, be e.g. busulfan, cytarabine, 6-thioguanine, fludarabine, hydroxyurea, procarbazine, bleomycin or methotrexate. Topoisomerase II inhibitors e,g. daunorubicin or idarubicin or, particularly, compounds which target, decrease or inhibit the activity of PDGFR or of c-AbI family members and their gene fusion products, e.g. imatinib, farnesyltransferase inhibitors, Ara-C,

VP-16, Teniposide, Mitoxantrone, Carboplatin or midostaurine are preferred as cytotoxic agent in case of a lymphatic or myeloid cancer.

According to the method of the present invention, subjects suffering from such a proliferative disease can be screened in order to predict their sensitivity to a combined treatment of mTOR inhibitors with a cytotoxic agent. The method may be performed in vitro, e.g. on a sample of biological tissue derived from the subject. The sample may be any biological material separated from the mammalian body such as e.g. tissue, cell lines, plasma or serum, cell or tissue lysate, preferably tumor tissue.

The status of the p53 (*TP53*) gene is assayed in the biological sample by any technical means on the basis of e.g. DNA analysis for genetic and epigenetic changes e.g. DNA scanning for amplification, methylation, polymorphisms, nucleotide mutations (e.g. mutations of codons 175Arg, 245Gly, 248Arg, 249Arg, 273Arg, 282Arg and others) nucleotide deletions, inversions and/or translations and loss of heterozygosity (LOH). *TP53* is assayed in the biological samples by any technical means on the basis of e.g. RNA expression using for example the technique of RT-PCR or on the basis of e.g. protein expression/modifications using for example the technique of Western blotting, immunohistochemistry or ELISA, including immunoassays, immunoprecipitation and electrophoresis assays.

For example, antibodies specific for p53 protein or p53 post-translational modifications such as phosphorylation (e.g. phosphorylation of Ser46), ubiquitination or acetylation are used in p53 format measure to immunoassay standard a linked protein/phosphorylation/ubiquitination/acetylation ELISA (enzyme levels. immunosorbent assay) type assays, immunoprecipitation type assays, conventional Western blotting assays and immunohistochemistry assays using e.g. monoclonal or polyclonal antibodies are also utilized to determine levels of p53 protein/post-translational modifications as a biomarker.

Polyclonal and monoclonal antibodies specific to p53-protein/post-translational modifications are produced in accordance with known immunization methods or are commercially available (e.g. Santa Cruz Biotechnology Inc catalogue #sc6253).

The p53 status is also measured by two-dimensional (2-D) gel electrophoresis. 2-D gel electrophoresis is known in the art and typically involves isoelectric focusing (IEF) along a first dimension followed by SDS-PAGE (sodium dodecyl sulphate-polyacrylamide gel

14 4 by USDTO from the IEW Image Database on 12/13/2004

electrophoresis) along a second dimension. The resulting electropherograms are analyzed, for example, by immunoblot analysis using antibodies.

The present invention thus provides a method of screening subjects suffering from a proliferative disease in order to predict their responsiveness to a combined treatment with an mTOR inhibitor and a cytotoxic agent, comprising determining the p53 status by a method as defined above.

In a further aspect, the present invention provides a method of treating a proliferative disease in a subject in need thereof, comprising determining the level of p53 expression and/or post-translational modifications in a sample derived from the subject, by a method as described above, and treating the subject with an mTOR inhibitor in combination with a cytotoxic agent accordingly.

In an alternative embodiment, the present invention provides a method for enhancing the activity of a cytotoxic agent or for overcoming resistance to a cytotoxic agent in a subject in need thereof, comprising determining the genetic status of the p53 (*TP53*) gene in a sample derived from the subject, by a method as described above, and administering to said subject a therapeutically effective amount of an mTOR inhibitor, either concomitantly or sequentially with said cytotoxic agent.

p53 (*TP53*) status in a particular tissue from a subject, e.g. a sample of tumor tissue, may be compared with a control sample, e.g. a sample of normal tissue from a subject not suffering from the disease, or a sample of normal (i.e non-tumor) tissue from the same subject. The p53 (*TP53*) wild-type status level at which use of an mTOR inhibitor in association with a cytotoxic agent is indicated, is predictive of a beneficial therapeutic effect (i.e. an antiproliferative and/or increased cell killing effect) of a combined treatment of an mTOR inhibitor with a cytotoxic agent.

Moreover, the method may be used to aid selection of an appropriate dose of a cytotoxic agent a nd/or a n m TOR i nhibitor in o rder to individually optimise therapy for each patient. Depending on the p53 wild-type status in a patient, lower doses of the active ingredients of the combination can be used; for example, the dosages need not only often be smaller but are also applied less frequently, or can be used in order to diminish the incidence of side-effects, while controlling the undesired proliferation. Factors for consideration in this context include the particular condition being treated, the particular mammal being treated, the clinical condition of the individual patient, the site of delivery of the active compounds, the

particular type of the active compounds, the method of administration, the scheduling of administration, the severity of the condition and other factors known to medical practitioners.

The terms "combined treatment" or "in combination with" or "in association with" or the like as utilized herein are meant to encompass administration of the selected mTOR inhibitor and cytotoxic agent to a single patient, and are intended to include treatment regimens in which the agents are not necessarily administered by the same route of administration or at the same time. For example, the mTOR inhibitor and the cytotoxic agent may both be administered to a patient as separate entities either simultaneously, concurrently or sequentially with no specific time limits, wherein such administration provides therapeutically effective levels of the two compounds in the body.

The therapeutically effective amount of each active component of the combination to be administered will be governed by considerations as mentioned above, and is the minimum amount necessary to prevent, ameliorate, or treat the disease. Such amount is preferably below the amount that is toxic to the host or which renders the host significantly more susceptible to infections.

Appropriate doses of an mTOR inhibitor are e.g. as disclosed in WO 02/66019, e.g. daily dosage rates of the order of ca. 0.1 to 30 mg, e.g. from ca. 0.05 to 20 mg active ingredient p.o., as a single dose or in divided doses or intermittent, e.g. once a week. Rapamycin or a derivative thereof, e.g. a compound of formula A, may be administered by any conventional route, in particular enterally, e.g. orally, e.g. in the form of tablets, capsules, drink solutions or parenterally, e.g. in the form of injectable solutions or suspensions, containing, for example, from about 0.1 % to about 99.9%, preferably from about 1 % to about 60 %, of the active ingredient(s).

Topotecan may be administered to a human in a dosage range varying from about 1 to 5 mg/m²day. Irinotecan may be administered to a human in a dosage range varying from about 50 to 350 mg/m²day.

Paclitaxel may be administered to a human in a dosage range varying from about 50 to 300 mg/m²day. Docetaxel may be administered to a human in a dosage range varying from about 25 to 100 mg/m²day.

Cyclophosphamide may be administered to a human in a dosage range varying from about 50 to 1500 mg/m²day. Melphalan may be administered to a human in a dosage range varying from about 0.5 to 10 mg/m²day.

wid a by USDTO from the IFW Image Database on 12/13/2004

5-Fluorouracil may be administered to a human in a dosage range varying from about 50 to 1000 mg/m²day, e.g. 500 mg/m²day. Capecitabine may be administered to a human in a dosage range varying from about 10 to 1000 mg/m²day. Gemcitabine hydrochloride may be administered to a human in a dosage range varying from about 1000 mg/m²/week.

Carboplatin may be administered to a human in a dosage range varying from about 200 to 400 mg/m² about every four weeks. Cisplatin may be administered to a human in a dosage range varying from about 25 to 75 mg/m² about every three weeks. Oxaliplatin may be administered to a human in a dosage range varying from about 50 to 85 mg/m² every two weeks.

Imatinib may be administered to a human in a dosage in the range of about 2.5 to 850 mg/day, more preferably 5 to 600 mg/day and most preferably 20 to 300 mg/day.

A preferred combination to be used in a method in accordance with the invention is e.g. a combination of rapamycin, 40- [3-hydroxy-2-(hydroxymethyl)-2-methylpropanoate]-rapamycin or 40-O-(2-hydroxyethyl) rapamycin with a cytotoxic agent such as gemcitabine or cisplatin. An alternative combination to be used in a method according to the invention is a combination in synergistic amounts of an mTOR inhibitor with a cytotoxic agent, e.g. gemcitabine or cisplatin, e.g. as disclosed above.

Preferably (TP53) is the human gene.

Preferably the methods of the invention are performed on tumor cells presenting a p53 wild-type status.

In a further embodiment, it has surprisingly been found that the increased cell killing/programmed cell death/apoptosis resulting from treatment with an mTOR inhibitor in combination with a cytotoxic agent in p53 (*TP53*) wild-type cells is associated with a strong attenuation of cytotoxic-induced upregulation of p21 waf1/Cip1 (also known as CDKN1A, WAF1, CIP1, SDI1, CAP20, MDA-6, p21) protein/mRNA expression, referred to hereafter as p21.

p21 is a member of the cip/kip family of cyclin kinase "inhibitors", which plays a role in allowing cell cycle transit as well as preventing apoptosis. In the context of the present invention, the function of p21 to arrest cell growth in response to stress signals, e.g. DNA damage, in response to activated p53 is well established. Indeed, it is postulated that increased p21 protein expression allows such stressed cells to survive, e.g. allowing the cell to complete the DNA repair process. Hence, attenuation of increased p21 expression in response to treatment with cytotoxics may promote the cell killing/programmed cell

death/apoptotic properties of the latter (Weiss, Cancer Cell, 2003,4:425-429). The sequence of human p21 (mRNA [coding sequence: 495 nucleotides] and protein product [164 amino acids]) is available under GenBank accession number NM 000389, NM 078467 or AAH01935. The complete sequence of the human p21 gene is a vailable under GenBank accession number NM 078467.

Accordingly, the present invention further provides:

- i. use of p21 as a biomarker for determining the sensitivity or response of a proliferative disease in a subject to treatment with an mTOR inhibitor in combination with a cytotoxic agent;
- ii. a method of selecting subjects suffering from a proliferative disease for treatment with an mTOR inhibitor in combination with a cytotoxic agent, comprising determining the sensitivity of the proliferative disease to treatment with a n mTOR inhibitor in combination with a cytotoxic agent in each subject by a method as described above, and selecting those subjects showing reduced p21 expression for further combination;
- iii. a method for determining the sensitivity or response of a proliferative disease in a subject to a treatment with an mTOR inhibitor, in combination with a cytotoxic agent, comprising determining in a sample derived from the subject the level of p 21 expression after treatment with the cytotoxic agent alone and in combination with an mTOR inhibitor;
- iv. a method for enhancing the activity of a cytotoxic agent or for overcoming resistance to a cytotoxic agent in a subject treated with said cytotoxic agent, comprising
 - determining the level of p21 expression in a sample derived from the subject, by a method as described above,
 - if p21 expression is upregulated after administration of a cytotoxic agent, administering to said subject a therapeutically effective amount of an mTOR inhibitor in combination with the cytotoxic agent,
 - determining again the level of p21 expression in a new sample derived from the subject after the treatment with the combination of the mTOR inhibitor and the cytotoxic agent, and
 - if p21 expression is downregulated, further treating the subject with the mTOR inhibitor either concomitantly or sequentially with said cytotoxic agent.

As already mentioned above, p21 mRNA and protein level may be determined as disclosed above for p53; however, instead of using antibodies specific to p53, it is understood to use

an antibody specific for p21, e.g. a monoclonal or polyclonal antibody, e.g. as commercially available (e.g. Oncogene Research products, Clone EA10, catalogue #OP64).

The level found in a particular tissue from a subject, e.g. a sample of tumor tissue, may be compared with a control sample, e.g. a sample of normal tissue from a subject not suffering from the disease, or a sample of normal (i.e non-tumor) tissue from the same subject. A lack of or attenuation of the induction of p21 expression (when treated with an mTOR inhibitor in combination with a cytotoxic agent as compared to the induction observed with the cytotoxic agent alone) is predictive of a beneficial therapeutic effect (i.e. an antiproliferative/cell killing effect) of an mTOR inhibitor in combination with a cytotoxic agent. The assessment of the induction of p21 expression by the cytotoxic agent and/or of the reversing effect by the mTOR inhibitor on p21 expression level may also be useful to adapt the doses of the cytotoxic agent, e.g. to reduce the cytotoxic dose.

The present Examples illustrate the invention without any limitation.

Example 1

p53 (*TP53*) wild-type human adenocarcinoma A549 (CCL-185) tumor cells (American Type Culture Collection, Rockville, MD.,USA) are seeded at a density of 2x 10³ cells/100 µl per well in 96-well plates and incubated for 24 hours at 37°C and 5% CO₂. Cells are incubated with suboptimal concentrations of gemcitabine (e.g. 5 to 17.5 nM) either in combination with 20nM 40-O-(2-hydroxyethyl) rapamycin or with the vehicle-control DMSO for an additional 72 hours. YO-PRO dye (YO-PRO^R-1 iodide [491/509], cat #Y3603, Molecular Probes) is added to the cells and a Cytofluor II Fluorescence plate reader is used to determine cell death or cytotoxicity and, after cell lysis, the relative cell proliferation. In this assay, the mTOR inhibitor, e.g. 40-O-(2-hydroxyethyl) rapamycin, causes a statistically significant potentiation of the cell killing effect of suboptimal concentrations of gemcitabine (p<0.05; ANOVA with Tukey test). Similar results as disclosed above are obtained when using a p53 (*PT53*) wild-type cell line other than the human lung adenocarcinoma A549, e.g. human MCF7 breast carcinoma cells (HTB-22; American Type Culture Collection).

This procedure is repeated however with the use of p53 (*TP53*) mutated/deficient tumor cell lines, e.g. PC3M human prostate carcinoma cells (seeded at a density of 0.8x10³ cells/100 µl) or MDA-MB231 human breast carcinoma cells (seeded at a density of 2x10³ cells/100 µl; HTB-26; American Type Culture Collection). No potentiation of cell death is seen in p53 (*TP53*) mutated/deficient cell lines at any gemcitabine concentration tested.

A549 cells are seeded at a density of 0.1x10⁶ cells/10 ml per 10cm plates and incubated for 24 hours at 37°C and 5% CO₂. Cells are incubated with dilution series of gemcitabine (e.g. 5 to 12.5 nM) either in combination with 2 0nM 4 0-O-(2-hydroxyethyl) rapamycin or with the vehicle-control DMSO for an additional 72 hours. Cell extracts corresponding to 50 µg total protein are resolved by 8% SDS-PAGE electrophoresis and immunoblot analysis is performed using rabbit polyclonal antibodies raised against Poly (ADP-Ribose) Polymerase (PARP) (Cell Signalling Technology catalogue #9542). In this assay, the presence of the mTOR inhibitor, e.g. 40-O-(2-hydroxyethyl) rapamycin, causes increased PARP cleavage (a marker of apoptosis) at suboptimal gemcitabine concentrations (as compared to gemcitabine or the mTOR inhibitor alone at the same concentrations). This confirms the above results that, in the p53 (*TP53*) wild-type A549 cells, the presence of the mTOR inhibitor results in higher levels of cell death at suboptimal gemcitabine concentrations.

Example 2

p53 (*TP53*) wild-type human lung a denocarcinoma A549 cells are seeded at a density of 5x10³ cells/100 μl per well in 96-well plates and incubated for 24 hours at 37°C and 5% CO₂. Cells are incubated with suboptimal concentrations of cisplatin (e.g. 3 to 10 μg/ml) either in combination with 20 nM 40-O-(2-hydroxyethyl) rapamycin or with the vehicle-control DMSO for an additional 24 hours. The YO-PRO[®] assay is performed as above to determine cell death or cytotoxicity and, after cell lysis, the relative cell proliferation. In this assay, the mTOR inhibitor, e.g. 40-O-(2-hydroxyethyl) rapamycin, causes a statistically significant potentiation of the cell killing effect of suboptimal concentrations of cisplatin (p<0.05; ANOVA with Tukey test). Similar results as disclosed above are obtained when using a p53 (*PT53*) wild-type cell line other than the human lung adenocarcinoma A549, e.g. human MCF7 breast carcinoma cells.

This procedure is repeated however with the use of p53 (TP53) mutated/deficient tumor cell lines, e.g. PC3M (seeded at a density of $3x10^3$ cells/ $100 \,\mu$ l) or DU145 (seeded at a density of $5x10^3$ cells/ $100 \,\mu$ l:HTB-81; American Type Culture Collection). The incubation with compounds in this case is 22 hours for DU145 or 30 hours for PC3M. No potentiation of cell death is seen in p53 (TP53) mutated/deficient cell lines at any cisplatin concentration tested.

A549 cells are seeded at a density of $0.1x10^6$ cells/10 ml per 10 cm plates and incubated for 24 hours at 37°C and 5% CO₂. Cells are incubated with suboptimal concentrations of cisplatin (e.g. 0.5 to 4 μ g/ml) either in combination with 20nM 40-O-(2-hydroxyethyl) rapamycin or with the vehicle-control DMSO for an additional 24 hours. Cell extracts

corresponding to 50 µg total protein are resolved on 8 % SDS-PAGE electrophoresis and immunoblot analysis is performed using rabbit polyclonal antibodies raised against Poly (ADP-Ribose) Polymerase (PARP) and p53. In this assay, the presence of the mTOR inhibitor, e.g. 40-O-(2-hydroxyethyl) rapamycin, causes increased PARP cleavage (a marker of apoptosis) at suboptimal cisplatin concentrations (as compared to cisplatin or the mTOR inhibitor alone at the same concentrations). This confirms the above results that, in the p53 (*TP53*) wild-type A549 cells, the presence of the mTOR inhibitor results in higher levels of cell death at suboptimal cisplatin concentrations.

The p53 (*TP53*) status predicts sensitivity of e.g. a tumor in a subject to a combination of an mTOR inhibitor with a cytotoxic agent. p53 status can be assessed using DNA, RNA or protein obtained from tumor tissue as disclosed in order to predict likely responsiveness to a combination of an mTOR inhibitor with a cytotoxic agent.

Example 3

p53 (*TP53*) wild-type A549 and MCF7 cells are seeded at a density of 0.3x10⁶ and 0.4x10⁶ cells/4 ml per 6 cm plates, respectively, and incubated for 24 hours at 37°C and 5% CO₂ Cells are incubated with suboptimal concentrations of cisplatin (e.g. 0.5 to 4 μg/ml) either in combination with 20nM 40-O-(2-hydroxyethyl) rapamycin or with the vehicle-control DMSO for an additional 24 hours and 30 hours, respectively. Cell extracts corresponding to 30 μg total protein are resolved on 15% SDS-PAGE electrophoresis and immunoblot analysis is performed using mouse monoclonal antibodies raised against p21 (Oncogene Research Products, Clone EA10, catalogue #OP64). In both cell lines, cisplatin alone induces increased p21 protein expression in a concentration-dependent manner. Strikingly, the presence of the mTOR inhibitor, e.g. 40-O-(2-hydroxyethyl) rapamycin, attenuates cisplatin-induced upregulation of p21 protein expression. In contrast, Bax protein expression, a p53-regulated pro-apoptotic protein, is unaffected by either agent alone or in combination. In this assay cytotoxic-induced p21 protein expression is inhibited by the presence of the mTOR inhibitor. This provides an explanation for the enhanced cell killing/apoptotic response observed with cisplatin and mTOR inhibitor combinations.

Example 4

p53 (*TP53*) wild-type A 549 cells are seeded at a density of 0.1x10⁶ cells/5 ml per 6 cm plates and incubated for 24 hours at 37°C and 5% CO₂. Cells are left untransfected or transiently transfected with 100 nM siRNA targeting either p21 (Target sequence:5'-GTG GAC AGC GAG CAG CTG A-3') or LacZ (Accession number:M55068. Target sequence:5'-

GCG GCT GCC GGA ATT TAC CTT-3') control siRNA. After 30 hours incubation, cells are incubated with suboptimal concentrations of cisplatin (e.g. 1 to 2 µg/ml) for an additional 24 hours. Cell extracts corresponding to 30 µg (p21) and 50 µg (PARP) total protein are resolved on 15 and 10% SDS-PAGE electrophoresis, respectively, and immunoblot analysis is performed using mouse monoclonal and rabbit polyclonal antibodies raised against p21 and PARP, respectively. Cisplatin treatment of untransfected or LacZ siRNA control transfected cells induces p21 protein expression in a concentration-dependent manner, with little evidence of PARP cleavage (a marker of apoptosis). Strikingly, attenuation of cisplatin-induced p21 protein expression occurs in the p21 siRNA transfected cells, which correlates with a dramatic induction of PARP cleavage. These data directly confirm that attenuation of cytotoxic-induced p21 protein expression is responsible for the enhanced cell killing/apoptotic response observed with cisplatin and mTOR inhibitor combinations.

CLAIMS

- 1. Use of the determination of p53 (*TP53*) status in a subject having a proliferative disease as a biomarker for determining the sensitivity of said subject to a treatment with an mTOR inhibitor in combination with a cytotoxic agent.
- 2. Use a coording to claim 1, comprising the use of p53 (*TP53*) gene analysis and the level of expression/post-translational modification of p53.
- 3. A method for determining the sensitivity of a proliferative disease in a subject to a combined treatment with an mTOR inhibitor and a cytotoxic agent, comprising determining the status of p53 (*TP53*) gene and/or the level of expression/post-translatinal modification of p53 in a sample derived from the subject.
- 4. A method or use according to any preceding claim, wherein the proliferative disease comprises a cancer.
- 5. A method according to any of claims 3 to 4, comprising determining the genetic status of p53 (*TP53*) and/or the level of expression of p53.
- 6. A method according to any of claims 3 to 5, wherein the sample is derived from a tumor in the subject.
- 7. A method of selecting subjects suffering from a proliferative disease for a combined treatment with an mTOR inhibitor and a cytotoxic agent, comprising determining the sensitivity of the proliferative disease to the combined treatment in each subject by a method as described in any of claims 3 to 6, and selecting those subjects showing wild-type p53 (*TP53*) status for the combined treatment.
- 8. A method or use according to any preceding claim, wherein the mTOR inhibitor comprises rapamycin or a rapamycin derivative.
- 9. A method or use according to claim 8, wherein the rapamycin derivative comprises 40-O-(2-hydroxyethyl) rapamycin.
- 10. A method or use according to any preceding claim, wherein the cytotoxic agent is selected from an antineoplastic antimetabolite, a platin compound, an alkylating agent, a topoisomerase I or II inhibitor, a microtubule active agent and irradiation.
- 11. A method or use according to claim 10, wherein the cytotoxic agent is gemcitabine.
- 12. A method or use according to claim 10, wherein the cytotoxic agent is cisplatin.

- 13. Use of p21 as a biomarker for determining the sensitivity or response of a proliferative disease in a subject to treatment with an mTOR inhibitor in combination with a cytotoxic agent.
- 14. Use according to claim 13, comprising determining the level of p21 expression.
- 15. A method for determining the sensitivity or response of a proliferative disease in a subject to a treatment with an mTOR inhibitor in combination with a cytotoxic agent, comprising determining in a sample derived from the subject the level of p 21 expression after treatment with the cytotoxic agent alone and after a combined treatment of the cytotoxic agent with an mTOR inhibitor.
- 16. A method for enhancing the activity of a cytotoxic agent or for overcoming resistance to a cytotoxic agent in a subject treated with said cytotoxic agent, comprising
 - determining the level of p21 expression in a sample derived from the subject,
 - if p21 expression is upregulated after administration of a cytotoxic agent, administering to said subject a therapeutically effective amount of an mTOR inhibitor in combination with the cytotoxic agent,
 - determining again the level of p21 expression in a new sample derived from the subject after the treatment with the combination of the mTOR inhibitor and the cytotoxic agent, and
 - if p21 expression is downregulated, further treating the subject with the mTOR inhibitor either concomitantly or sequentially with said cytotoxic agent.

Abstract

Provided are biomarkers for determining the sensitivity of proliferative diseases such as cancer to therapeutic agents, in particular mTOR inhibitors in combination with a cytotoxic agent.